tioner's Docket No.

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KING et al

Application No.:10 \( \text{O73,094} \)

Group No.: 3612

Filed:

02/08/2002

Examiner:

For:

COLLAPSIBLE STORAGE BOX

RECEIVED

NOV 1 6 2004

Assistant Commissioner for Patents Washington, D.C. 20231

**GROUP 3600** 

#### AMENDMENT, PETITION AND FEE TO DELETE AND/OR ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED INVENTOR(S) IN—NONPROVISIONAL APPLICATION—DECLARATION (37 C.F.R. § 1.48(a))

NOTE: "Alf the inventive entity is set forth in error in an executed § 1.63 oath or declaration in an application, other then a reissue application, and such error arose without any deceptive intention of the part of the person named as an inventor in error or on the part of the person who through error was not named as an inventor, the application may be amended to name only the actual inventor or inventors." 37 C.F.R. § 1.48(a)

NOTE: 37 C.F.R. § 1.48(f) states: "If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(b) without an executed oath or declaration under § 1.63, the later submission of an executed oath or declaration under § 1.63 during the pendency of the application will act to correct the earlier identification of inventorship.

"(2) If the correct inventor or inventors are not named on filing a provisional application without a cover sheet under § 1.51(c)(1), the later submission of a cover sheet under § 1.51(c)(1) during the pendency of the application will act to correct the earlier identification of inventorship."

- 1. This amendment and petition is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on 4/23/02 (date).
- Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

Add the following previously unnamed person(s) as inventor(s) of this application:

11/12/2004 LUONDIH1 00000080 190078

10073094

Glenn Schmierer

04 FC:1460

130.00 DA

### CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

#### MAILING

図x deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the

Assistant Commissioner for Patents, Washington, D.C. 20231-P.D. Box 1450

#### **FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Jan Deem

(type or print name of person certifying)

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in Declaration (37 C.F.R. § 1.48(a) [9-22]—page 1 of 2)

		Delete the following previously inc	correctly named inventor(s)							
<b>3.</b> /	Attach	ments								
	achec	•								
		atement from: (check items below	w that apply)							
(-7		each person being added as an in	ventor that the error in inventorship occurred or her part. 37 C.F.R. § 1.48(a)(1).							
			oventor that the error in inventorship occurred or her part. 37 C.F.R. § 1.48(a)(1).							
(b)			claration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or ermitted by §§ 1.42, 1.43, OR 1.47). 37 C.F.R. § 1.48(a)(2).							
(c)		en assent of the assignee (if any of t C.F.R. 1.48(a)(4)	he original inventors executed an assignment)							
(d)	-	nendment is accepted are not the	nventor(s) remaining after this petition and inventor(s) of the subject matter of all the v being claimed.)							
		being claimed in this application, i	cts, including the ownership of all the claim(s) ncluding the ownership of all the claim(s) at was made (Declaration of Inventorship and Application).							
4. F	ee Pa	yment (37 C.F.R. § 1.17(i)-\$130.00	0)							
The	fee r	required is paid as follows:								
		·	der in the amount of \$							
ХХ	Auth	orization is hereby made to charge	e the amount of \$ 130.00							
		to Deposit Account No. 19-007								
		to Credit card as shown on the at form PTO-2038.	tached credit card information authorization							
WAR	NING:	Credit card information should not be inc	cluded on this form as it may become public.							
ХX		ge any additional fees required by ner authorized above.	this paper or credit any overpayment in the							
	A du	plicate of this paper is attached.	MM-							
Reg. 1	No.:	28,576	SIGNATURE OF PRACTITIONER  Gregory W. O'Connor							
Геł. N	o.: (	303) 373-6165	(type or print name of practitioner) Samsonite Corporation 11200 East 45th Avenue P.O. Address							
Custo	mer N	lo.: 01914	Denver, Colorado 80239							
			Original Erroneously Named Inventor(s) in Declaration							
(ATTIELL	oment,	Culton and ree to belete and/or Add to	(37 C.F.R. § 1.48(a) [9-22]—page 2 of 2)							

PT3/SB/21 (02-04) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 10/073,094 **TRANSMITTAL** Filing Date 02/08/2002 **FORM** First Named Inventor King Art Unit 3612 (to be used for all correspondence after initial filing) **Examiner Name** Moy, Joseph

Attorney Docket Number

Total Number of Pages in This Submission

P67371

<u> </u>			EN	ICLOSURES	(Check all that app	ly)		
xx	Fee Transmittal	Form		Drawing(s)			After Allowance communication to Group	
	Extension of Tim Express Abando Information Disc Certified Copy o Document(s) Response to Mis Incomplete Appl	oly al s/declaration(s) ne Request nament Request closure Statement of Priority ssing Parts/	Re	Licensing-relate Petition Petition to Conv Provisional App Power of Attorn Change of Corre Terminal Disclai Request for Ref CD, Number of	ert to a lication ey, Revocation espondence Address imer und	Ame to Or or	Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below): urn Receipt Postcard ndment, Petition and Fee Delete and/or Add to iginal Erroenously Named Not Named Inventors(s) Nonprovisional Application	
		SIGNA	TURE	OF APPLICA	NT, ATTORNEY,	OR AG	ENT	
Firm or Individu Signatu Date	ual name ure			Gregory W. 11/5/2004	O'Connor, Re	g. #2	8,576	
			EDTI		ANCHICCIONIA	ILING		
CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Typed or printed name				Jan Deem	***			
Signatu	ure		1	NSV I	Deam		Date 11/5/2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-04v2)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE her the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 372

Co	mplete if Known			000 PEES
Application Number	10/073,094	RECE	$\mathbb{V}$	
Filing Date	02/08/2002	U BEET CO		
First Named Inventor	King	NOV 1 6	20	<b>)</b> 4
Examiner Name	Moy, Joseph	OPOLID	0	eni
Art Unit	3612	GHUUF	Q	OU
Atterney Docket No.	D67271			,

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
XX Deposit Account:	Large I		Small			
Donosit	Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051		Surcharge - late filing fee or oath	l ce i ala
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or	
Account Name Samsonite Corporation	4050	400	4050	400	cover sheet	
The Director is authorized to: (check all that apply)	1053 1812	130	1053 1812		Non-English specification  For filing a request for ex parte reexamination	
Charge fee(s) indicated below XX Credit any overpayments	1804	920*	1804	-,	Requesting publication of SIR prior to	
Charge any additional fee(s) or any underpayment of fee(s)	1004	920	1004	320	Examiner action	<del></del>
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	430	2252	215	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	1253	980	2253	490	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid	1254	1,530	2254	765	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 790 2001 395 Utility filing fee	1255	2,080	2255	1,040	Extension for reply within fifth month	
1002 350 2002 175 Design filing fee	1401	340	2401	170	Notice of Appeal	
1003 550 2003 275 Plant filing fee	1402	340	2402	170	Filing a brief in support of an appeal	
1004 790 2004 395 Reissue filing fee	1403	300	2403	150	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,370	2453	685	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,370	2501	685	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	490	2502	245	Design issue fee	
Total Claims 24 -20** = 4 X 18 = 72	1503	660	2503	330	Plant issue fee	
Claims	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent 300 = 300	1807	50	180	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee   Fee Fee Fee Description	1806	180	180		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	790	280	9 395	Filing a submission after final rejection	
1201 88 2201 44 Independent claims in excess of 3					(37 CFR 1.129(a))	$\vdash$
1203 300 2203 150 Multiple dependent claim, if not paid	1810	790	281	0 395	5 For each additional invention to be examined (37 CFR 1.129(b))	
1204 88 2204 44 ** Reissue independent claims over original patent	1801	790	2801	395	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
372	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)					

SUBMITTED BY	(Complete (if applicable))				
Name (Print/Type)	Gregory W. O'Connor	Registration No. (Attorney/Agent)	28,576	Telephone	303-373-6165
Signature	47110/-			Date	11/5/2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# RECEIVED NOV 1 6 2004 GROUP 3600

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

WILLIAM L. KING

Serial No.: 10/073,094

Filing Date: 02/08/2002

Title: COLLAPSIBLE STORAGE BOX

October 2, 2002

Assistant Commissioner for Patents Patent and Trademark Office P.O. Box 2327 Arlington, Virginia 22202

#### STATEMENT OF GLENN SCHMIERER

Dear Sir:

I, Glenn Schmierer, declare that I was involved in the development of the Collapsible Storage Box shown in patent application serial no.10/073,094. After the patent application was filed and through discussion with Mr. Bill King and Mr. Greg O'Connor, I discovered that I was, in error and without deceptive intention, omitted from the original application as a co-inventor with Mr. King.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these

statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: ///7/02

Glenn Schmierer